

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

Document # \_\_\_\_\_

USA

U.S.C.A. # 15-2707

U.S.D.C. # 11CR907

JUDGE : Rakoff

DATE : 9/29/2015

-v-

Rajiv Gupta

**NOTICE TO THE DOCKET CLERK**

USDC SDNY  
DOCUMENT  
ELECTRONICALLY FILED  
DOC #: \_\_\_\_\_  
DATE FILED: **SEP 29**

(first) SUPPLEMENTAL INDEX

7 Vols.

The record in the above entitled case was indexed to the U.S.C.A. for the  
Second Circuit on the 29<sup>th</sup> Day of Sept., 2015.

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

USA

-v-

Rajat Gupta

U.S.C.A. # 15-2707

U.S.D.C. # 11CR 907

JUDGE: Judge Rakoff

DATE: 9/29/2015

First SUPPLEMENTAL INDEX TO THE RECORD ON APPEAL

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DISTRICT COURT DOCKET ENTRIES

DOCUMENT DESCRIPTION

DOC. #

- | 1. SUPPLEMENTAL CLERK'S CERTIFICATE              | --  |
|--|-----|
| 2. Transcript; Date of the proceeding 10/26/2011 | #10 |
| 3. Transcript; date of the proceeding 1/27/2012  | #26 |
| 4. transcript; date of the proceeding 2/7/2012   | #35 |
| 5. transcript; date of the proceeding 10/26/11   | #11 |
| 6. Transcript; date of the proceeding; 1/5/2012  | #21 |
| 7. Transcript; date of the proceeding 2/16/2012  | #31 |
| 8. transcript; date of the proceeding 3/16/2012  | #46 |
| 9. transcript; date of the proceeding 3/16/2012  | #48 |

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Transcript: date of the proceeding 5/30/31	#111
→ 6/1, 4-6/2012	
Transcript: date of the proceeding 3/22-24, 29/30, 2012	#112
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Transcript: date of the proceeding in 6/8/2012	#114
Transcript of the proceeding dated 6/4/2012	#115
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Letter dated February 13, 2014	#145
Letter dated February 18, 2014	#146

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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USA

-v-

Rajat Gupta  
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U.S.C.A. # 15-2707

U.S.D.C. # 11cr907

JUDGE : ROKOFF

DATE : 9/29/15

<u>First</u> SUPPLEMENTAL CLERK'S CERTIFICATE
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I, Ruby J. Krajick, Clerk of the Court of the United States District Court for the Southern District of New York, do hereby certify that the certified extract of the docket entries and the original filed papers numbered 1 through 162, inclusive, constitute the Supplemental record on appeal in the above entitled proceedings.

In Testimony Whereof, I have caused the seal of said Court to be hereunto affixed, at the City of New York, this 29<sup>th</sup> Day of Sept. In this year of our Lord, Two Thousand and Fourteen, and the Independence of the United States this 237<sup>th</sup> year.

Ruby J. Krajick, Clerk

By



Deputy Clerk

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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USA

-v-

Rajat Gupta

U.S.C.A. # 15-2707

U.S.D.C. # 11CR907

JUDGE: Judge RAKOFF

DATE: 9/29/2015  
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<p><b>EXTRACT OF DOCKET ENTRIES</b></p>
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DATE	DOCUMENT DESCRIPTION
<u>11/5/2011</u>	<u>Transcript</u>
<u>02/3/2012</u>	<u>Transcript</u>
<u>02/29/2012</u>	<u>Transcript</u>
<u>11/28/2011</u>	<u>Transcript</u>
<u>01/10/2012</u>	<u>Transcript</u>
<u>12/23/2012</u>	<u>Transcript</u>
<u>03/29/2012</u>	<u>Transcript</u>
<u>04/12/2012</u>	<u>Transcript</u>

Ruby J. Krajick, Clerk

By



Deputy Clerk



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<u>Date Filed</u>	<u>Document Description</u>
04/12/2012	Transcript
04/27/2012	Transcript
04/30/2012	Transcript
05/10/2012	Transcript
05/21/2012	Transcript
07/12/2012	Transcript
07/12/2012	Transcript
07/12/2012	Transcript
07/12/2012	Transcript
07/12/2012	Transcript
07/12/2012	Transcript
02/26/2014	Letter
02/26/2014	Letter